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An Examination of Concussion Legislation in the United States

There is legislation in the United States designed to protect children and adolescents from the risks associated with concussion. The scope and reach of these laws vary greatly.

Purpose: It is important that health care professionals are aware of the limitations of each law. Since 2009, every state in the nation and the District of Columbia passed legislation designed to protect student-athletes who suffer from concussions resulting from participation in sport.

Method: Therefore, select components of state policies were identified including: 1) Affected entities, 2) Stipulations for concussion awareness/education, 3) Requirements for removal/return to play, and 4) Requirements for return to the classroom.

Results: There is significant variance between the laws and not all children/adolescents are protected equally.

Conclusion: Concussion policies are a minimum standard and, when available, best practices should be followed.

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United States

Abstract

There is legislation in the United States designed to protect children and adolescents from the risks associated with concussion. The scope and reach of these laws vary greatly. **Purpose:** It is important that health care professionals are aware of the limitations of each law. Since 2009, every state in the nation and the District of Columbia passed legislation designed to protect student-athletes who suffer from concussions resulting from participation in sport. **Method:** Therefore, select components of state policies were identified including: 1) Affected entities, 2) Stipulations for concussion awareness/education, 3) Requirements for removal/return to play, and 4) Requirements for return to the classroom. **Results:** There is significant variance between the laws and not all children/adolescents are protected equally. **Conclusion:** Concussion policies are a minimum standard and, when available, best practices should be followed.

INTRODUCTION

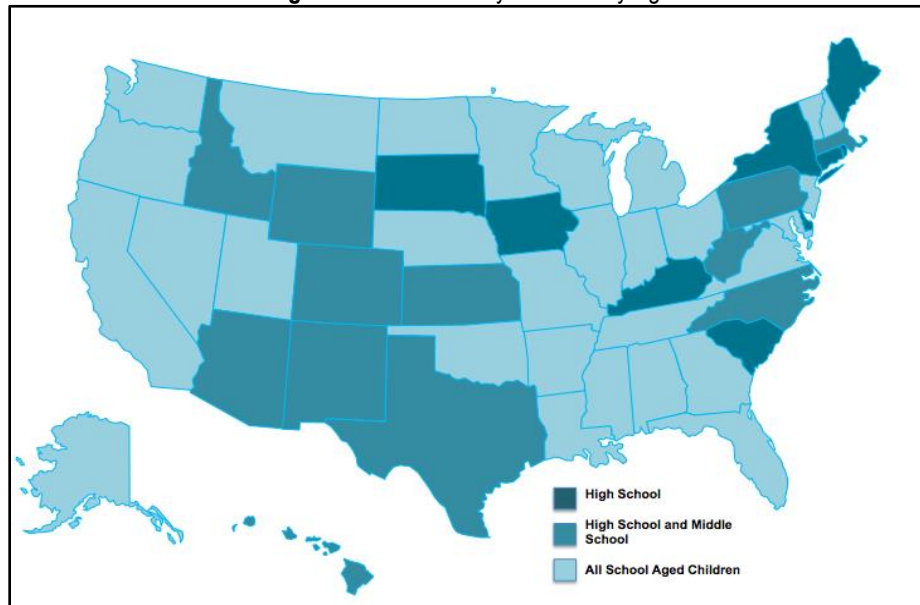
Each year, traumatic brain injuries are estimated to effect 1.7 million people in the United States.¹ Between 70 to 90% of these injuries are mild and are commonly referred to as "concussions".² Sport-related concussions in youth are common and may significantly impact on a person's life.³ One such person was 13-year-old Zackery Lystedt who was severely debilitated after sustaining a head injury while playing football in 2006.⁴ Three years later, in May of 2009, the State of Washington passed the first legislation surrounding concussion in sport. Known as the Lystedt Law, this legislation was developed to provide consistent policy across schools in the state of Washington in an effort to prevent student-athletes from participating in sport while suffering from concussion symptoms. Over the next five years, every state and the District of Columbia enacted similar legislation.⁵ These laws are particularly important because concussions are more dangerous for children and adolescents whose brains are still developing and may be more susceptible to the effects of concussions.⁶ While these policies are a start in the effort to protect young athletes from the risks of concussion, the policies are varied in comprehensiveness.

Many healthcare professionals (e.g. MD, DO, PA) are well trained in the recognition, diagnosis, and management of concussions. However, athletic trainers are the only healthcare professionals whose primary practice setting is traditionally located within athletic departments and/or facilities.⁷ When present, these healthcare providers serve as the first line of defense against sport-related concussions. However, they are not present at every youth sporting event in the United States.⁸ Due to the variability between healthcare professionals who are charged to care for sport-related concussions, and given the variety among the purview of state laws, it is important all healthcare professionals are aware of the limitations of each state's legislation. Therefore, the purpose of this study was to provide a comprehensive review of current concussion legislation in the United States. Specifically, it is our aim to assist healthcare professionals to recognize the limitations of such policies and identify trends in policy revision.

METHODS

Because of the text-based nature of the data, the researchers used a qualitative content analysis.⁹ This qualitative approach uses a quantitatively oriented technique whereby standardized measures are used to metrically define units in order to characterize and

Figure 2. Affected Entity Stratified by Age



Education/Awareness

All (n=51, 100%) policies required some form of concussion education or awareness training. As part of this educational requirement, most (n=48, 94%) states require an informed consent document signed prior to participation in sports. Of the 43 policies requiring student-athlete education, 86% (n=36) policies suggest the education is in the form of informed consent only. Ninety percent (n=46) of the laws also mandate concussion training for coaches. Approximately one-third (n=15, 31%) requires coaches to update their training annually, 19% (n=9) require biennial training, and 8% (n=4) require repeat training every 3-5 years (Figure 3). Six percent (n=3) of states require one-time training of coaches while another 33% (n=16) do not specify a timeframe for repeat training. Most states recommend some form of concussion education or awareness for other stakeholders as well (Figure 4). The most common recommendation is education for students/athletes (i.e. informed consent, n=43, 84%), parents (n=17, 33%), healthcare providers (i.e. athletic trainers, school nurses; n=12, 24%), and game officials (n=11, 22%). Fifty-three percent (n=27) of the policies do not specify the type of content included within the educational initiative and instead designate a specified entity (i.e. Department of Education, State Association, or Interscholastic League) to design the educational materials. One fourth of the policies (n=13, 25%) do not specify the type of content or who is responsible for providing the education. Only 12% (n=6) provide specific guidelines to the types of information provided in a concussion awareness program.

Figure 3. Educational Requirements for Coaches (N=46, 90%)

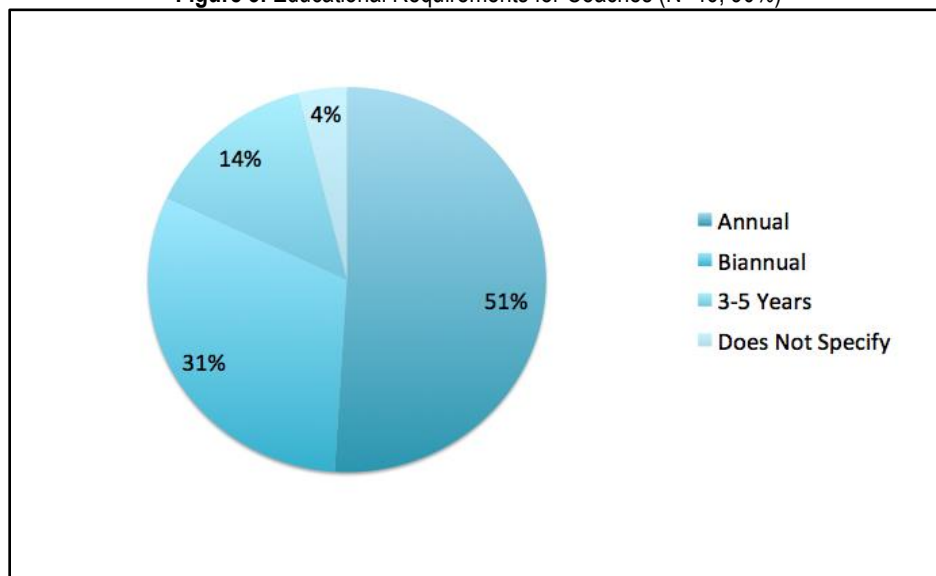
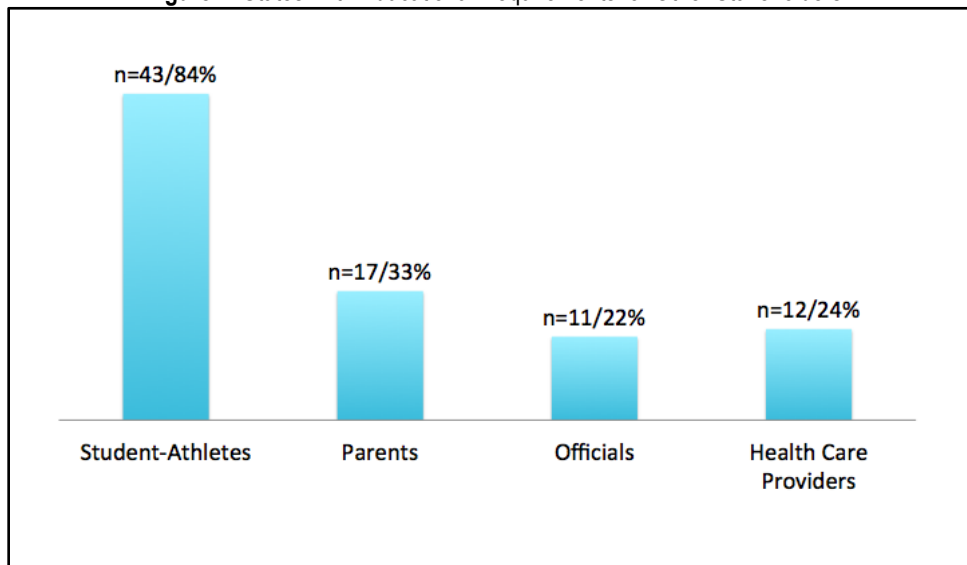


Figure 4. States With Educational Requirements for Other Stakeholders**Removal/Return to Play**

Education to alert stakeholders to the signs and symptoms of a concussion is important since all 50 (100%) state policies mandate removal from play if a concussion is suspected. Once an athlete is removed from play, he/she cannot return to participation until a qualified healthcare professional evaluates the athlete and determines whether a concussion was sustained. In most states (n=49, 96%), the injury and subsequent release cannot occur within 24 hours/on the same day as symptoms of concussion are often delayed. The only exceptions are Arizona and South Carolina's legislation. In these states, students participating in covered entity can return to play the same day if cleared by a designated healthcare professional. Conversely, the state of New Mexico recently extended the mandatory period of rest after an athlete suffers a concussion to 10 days or 240 hours after the injury occurred.¹¹

The type of healthcare professional legally able to clear an athlete for return to participation also varies by state. Twenty-three states (45%) allow for any healthcare professional to make the decision; although, some states stipulate the healthcare professional must be trained in the evaluation and management of concussions. In 39% (n= 20) of the policies, only select health professionals can legally clear an athlete for participation. The most commonly cited healthcare professionals with authority to clear an athlete for participation are Allopathic Physicians (Medical Doctors [MD]), Osteopathy Physicians (DO), Physician's Assistants (PA), Nurse Practitioners (NP), Athletic Trainers (AT), Physical Therapist (PT), and Chiropractors (DC). Allopathic and Osteopathic Physicians are authorized to clear athletes for return to participation in 100% (n=50/50) of the states. Physician Assistant and Nurse Practitioners are authorized to clear athletes for return to participation in (n=42/50) of the states. Athletic trainers are authorized to clear athletes for return to participation in 80% (N=40/50) of the states. Physical Therapists are authorized to clear athletes for return to participation in 52% (N=26/50) of the states. In addition to the 23 states that allow any healthcare professional to make the decision, the state of Iowa includes Doctors of Chiropractic (DC) and Physical Therapists (PT) in their list of eligible healthcare providers. PTs are also eligible healthcare providers in Nevada and Pennsylvania. Sixteen percent of state policies (n=8) only allow physicians (MDs or DOs) to return an athlete to participation .

Table 1. Healthcare Professionals with Legal Authorization to Clear an Athlete for Participation after a Concussion

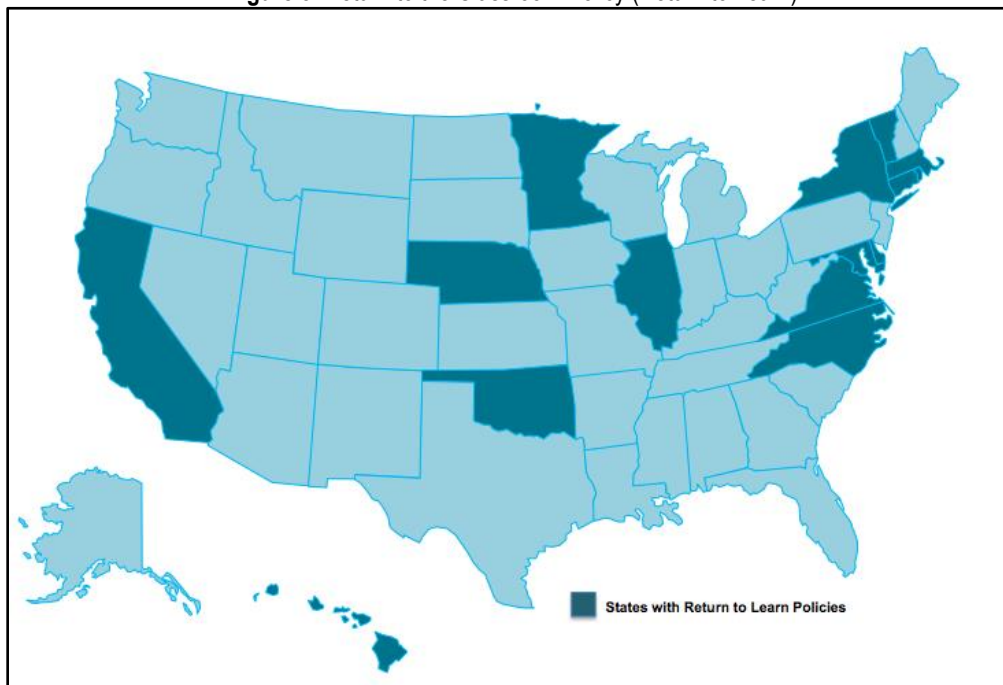
Any Licensed Healthcare Provider/ Healthcare Providers Trained in Concussion Management	Limited to select Healthcare Providers (e.g. MD, DO, PA, NP, AT)	Physician Only (MD or DO)
Alaska	Arizona	Alabama
Arkansas	Colorado*	Indiana
California	Connecticut	Kansas
District of Columbia	Delaware	Kentucky
Florida	Georgia	New Jersey
Hawaii	Idaho [^]	New York
Illinois	Iowa	North Dakota
Maine	Louisiana*	Rhode Island
Maryland	Massachusetts	
Michigan	Mississippi	
Minnesota	Nebraska	
Missouri	North Carolina	
Montana	New Mexico	
New Hampshire	Nevada	
Ohio [^]	Oregon	
Oklahoma	Pennsylvania	
South Dakota	South Carolina	
Tennessee [^]	Texas [^]	
Utah	Virginia	
Vermont	Washington	
West Virginia		
Wisconsin		
Wyoming		

*ATs are not included

[^] Must be supervised by a physician

Return to Learn

Since 2011, 12 states (23%) either passed new legislation or revised existing policy to include language concerning the academic implications of participating in a formal learning environment after suffering from a head injury (Figure 5). While not mandated, a thirteenth state, California, recommended schools utilize a return to learn protocol in the 2015 revision of their law.¹² Two states' law (IL, TX, 4%) require the creation of concussion oversight teams (COT) as part of their concussion policy.^{13,14} This interdisciplinary team is made up of officials from the healthcare and sports realms to develop return to participation policies for each school. In Illinois, the creation of the COT is the responsibility of each individual school and includes officials from the academic sector to help guide return to learn decisions.¹³ In Texas, the COT is established by each school district and its focus is only on the student's return to participation in sports.¹⁴ The state of Texas requires each COT to include at least one Texas licensed physician.¹⁴ When athletic trainers are employed by the district (Texas) or school (Illinois), the COT must also include an athletic trainer.^{13,14} Both states require all members of the COT to complete training in the evaluation, treatment and oversight of concussions.

Figure 5. Return to the Classroom Policy (Return to Learn)

DISCUSSION

The purpose of this study was to examine sport concussion policy and provide healthcare practitioners with a comprehensive overview of current concussion related legislation, recognize limitations of such policies, and identify trends in policy revision. In order to do so, we identified four main components to concussion legislation: 1) affected entities, 2) concussion awareness/education, 3) requirements for removal/return to play, and 4) requirements for return to the classroom (i.e. Return to Learn). Each of these legislative facets will be discussed below.

Affected Entities

Since 2009, every state in the nation and the District of Columbia passed legislation designed to protect student-athletes who suffer from concussions resulting from participation in sport. This legislation unanimously requires removal from play when a concussion is suspected and release for participation only after clearance by a designated healthcare provider. The final determination regarding an athlete's fitness for participation is based on the clinical judgment of the healthcare professional charged with the responsibility of clearing the athlete for participation. Failure to comply with the mandate may be considered negligence or failure to meet the standard of care causing injury.¹⁵ While protection from legal liability is a concern for stakeholders, the precedent for punitive processes against both individuals and school districts largely sides with the defendant.¹⁵ School officials need to ensure proper policies and procedures are in place as a professional responsibility and educational best practice. Furthermore, concussions are not exclusive to the athletic population. Each year approximately 2.5 million people suffer from traumatic brain injury.¹⁶ The majority of those injuries are attributed to falls, being struck in the head by an object, motor vehicle accidents or assault. Every child is susceptible to sustaining a concussion. However, in many states, every child is not afforded the protection provided by its state's concussion policy. With current concussion legislation in the United States, only 27% (N=13) apply to public, private, and youth sports organizations.

Concussion Awareness/Education

Due to the lack of immediate access to healthcare professionals at sports practices and competitions, a widespread awareness of the signs and symptoms of a concussion among stakeholders in youth sports is needed. All current legislation requires some form of concussion education and initial reports suggest concussion legislation is raising the awareness of concussive symptoms.¹⁷ However, the laws vary greatly as to who is required to receive the training, if retraining is required, and if so, how often.¹⁸ Most states require an informed consent document signed prior to participation in sports. Of the states that require student-athlete education, the majority of policies suggest the education is in the form of informed consent only. Informed consent documents are not legally binding in cases involving minor.¹⁹ However, this practice ensures receipt of information and may improve compliance with reporting symptoms.²⁰ The majority of state laws also mandate concussion training for coaches. This requirement is commonsense as coaches are present at each athletic event. Some policies specifically recommend either the *Heads Up* program

by the Center for Disease Control,²¹ programming by the National Federation of State High School Associations, both, or an equivalent program. However, there are lingering questions regarding the method of delivery (i.e. live event, online module, written), who is charged with the delivery of the programming and how individuals completing the education are tracked. None of the policies include provisions for funding of the development, implementation, or distribution of educational programs. In all states, legal precedent specifies failure to train coaches on indicators of a concussion, maintain proper procedures to treat head injuries, and to instruct student athletes on the causes, symptoms and dangers of traumatic brain injuries may constitute as negligence by act of commission on part of the school district.¹⁵ There is conflicting evidence regarding the efficacy of concussion education. The literature²² suggests youth sport coaches can appropriately prevent, recognize and respond to concussions after reviewing the CDC's Heads Up information, thus, on one level, concussion education appears valuable. However, another study²³ questions the efficacy of education programs and the quality of the education materials.

Requirements for Removal/Return to play

All 50 states plus the District of Columbia have legislation that requires a student-athlete to be removed from participation if a concussion is suspected and cannot return to participation until cleared by a healthcare professional. Maryland and Oklahoma are the only states to include ramifications for failure to comply with the law. In Maryland, a coach who allows a student-athlete to participate after a suspected concussion may be suspended. In Oklahoma, the offender (i.e. coaches, game officials, or administrators) must complete additional training for a first offense and can be suspended for future offenses. However, medical professionals are not readily available at all practices and competitions. Furthermore, if the evidence²⁴ regarding the pressure coaches' place on medical professionals to prematurely return college athletes to play after a concussion is any indication, a conflict of interest may prevent the coach from adequately identifying an athlete with a suspected concussion. Therefore, it may be appropriate to also require education and/or mandates for unbiased stakeholders such as a referees or game officials to make the decision to remove a student-athlete from participation. Currently, only 22% (N=11) of laws include education for game officials.

Return to Learn

While state laws were originally passed to protect student-athletes from returning to sport participation while suffering from a head injury, we now know returning to the classroom while suffering from acute symptoms of a concussion is also problematic.²⁵ Failure to respond appropriately to the disablements of a student suffering from concussive symptoms, most notably prolonged cases, may violate the Americans with Disabilities Education Act as well as Section 504 of the Rehabilitation Act.^{15,26} In order to better address the responsibilities of the school districts; the centerpiece in the revision of concussion legislation frequently involves the student's return to the classroom. Return to learn policies vary from requiring education regarding the effects of concussions on a student's academic performance to action plans that include a step-by-step protocol for re-entering the academic environment. The majority of concussion legislation seeks to address return to athletic participation while a limited number also include recommendations for reentering the academic environment. Protocols for return to the academic setting need to be established similar to those in place for return to play for both the medical safety of the student as well as for educational best practices. Similar to return to play strategies, return to learn protocols should be based upon the patient's symptoms and allows for a stepwise progression from complete cognitive rest to full return to school without academic adjustments.^{26,27} Common recommended academic accommodations focus mostly on cognitive rest strategies (i.e. postponing assignments, rest breaks, variation of attendance) as well as strategies to reduce physical symptoms (i.e. preferential seating, providing a note taker, or memory strategies).²⁶⁻²⁸ If an expansion of policy occurs, the number of individuals protected by the law increases but so does the number of stakeholders charged with implementing these policies. Teachers, nurses, counselors and other school administrators will need training in the recognition, standard treatment, and management of concussions as well as how the injury can affect the student's performance in the classroom. Conversely, healthcare professionals may need a better understanding of academic policy and educational interventions.²⁸ Similar to the education requirement, no state policy specifically allocates funding for the application of return to learn mandates or include ramifications for failure to comply with said policies and procedures.

CONCLUSION

Many states are revisiting their concussion legislation in light of the rapidly evolving body of evidence in concussion research. It is the duty of healthcare professionals to advocate, educate, and serve as change agents for the safety and protection of all people. The first step in advocating for change is to petition for the same rights for all children and adolescents regardless of current state legislation. One way to do so is to express concern to your government representatives (i.e. Senators and Congressman) about your current law and its limitations. In addition, institutions that provide services to children and adolescents (e.g. school districts, parks and recreation departments) should create policy and procedures (i.e. return to learn, return to play) above what is mandated by state legislation so that all children and adolescents are protected equally. Finally, healthcare professionals associated with non-affected entities (i.e. private and charter schools, recreation leagues, park districts) should advocate for concussion education initiatives and be involved in the development of policies and procedures for return to learn and play.

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